

## KENTUCKY LEGISLATIVE ETHICS COMMISSION

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### STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

*To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.*

### **Please Include The Following Information For The Preceding Calendar Year:**

Name: Ernest L. Harris, Jr.

Business address: n/a n/a Kentucky n/a

Business telephone: n/a

Home address: 4306 S. Hwy 1694 Prospect Kentucky 40059

Title of public position, or office sought: State Senate 26

Other occupations of filer: Farmer

Occupation of spouse: n/a

***NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.***

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

President, E&D Harris, 4306 S. Hwy 1694, Prospect, KY 40059

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

n/a

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

E&D Harris, Mutual funds: Spartan US Bond, Vanguard Inflation Protection Index, Vanguard Value Index, Spartan International Index, Spartan Market Index, Fidelity Institution Market, AST JP Morgan Strategic Opportunities Portfolio, AST Capital Growth Asset Allocation, AST Academic Strategies Asset Allocation, AST Prudential Growth Allocation, Stocks: UPS(IRA), Full Circle Registry(IRA), CYTR Corporation: Janet C Harris Testamentary Trust: Calamos Global Equity Fund Class C, Franklin Small-Mid Cap Growth fund, John Hancock Global Opportunities Fund, Mutual Global Discovery Class C

Sources and form of gross income of the filer (list sources by name):

UPS Retirement, US Air Force Retirement, KY Senate, Interest on savings (Stockyards Bank, PNC Bank and various mutual funds), UPS stock, farm rental

Sources and form of gross income of the filer's spouse (list sources by name):

n/a

Positions of a fiduciary nature in a business:

n/a

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

Farm in Madison county

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.):

none

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

none

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

My son David Harris is a lobbyist for the Kentucky Association of Realtors.

The names of the filer's clients who are legislative agents or employers:

n/a

If you have held a professional license during the filing period, has a properly licensed

partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? :    Yes    No    X Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency:

Clients

State Agency

### **NOTICES**

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

1/11/2016

Date

Ernest L. Harris, Jr.

Filer

Send completed statements to: The Kentucky Legislative Ethics Commission  
22 Mill Creek Park  
Frankfort, Kentucky 40601  
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.